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CONTAINS NO CRI Mobay



91 DEC 19 PM 2: 07

Mobay Corporation Health, Environment, Safety & Plant Management

Mobay Road Pittsburgh, PA 15205-9741 Phone: 412 777-2000

December 13, 1991

Document Processing Center (TS-790)
Attention: Section 8(e) Coordinator
Office of Toxic Substances
U.S. Environmental Protection Agency
401 M Street, SW
Washington, DC 20460

Dear Sir:

88-880000049 : PDCN

Mobay has recently received and is submitting two studies investigating Polymeric MDI (CAS # 9016-87-9) and 4,4'MDI (CAS # 101-68-8). (1) "Testing of the Cell Transformation Activity of HE 1003" November 1980, Inversk Report 1863, by A. Poole and (2) "Testing of the Cell Transformation Activity of HE 1002" November 1980, Inversk Report 1862, by A. Poole. Both Studies report positive mutagenicity findings manifested by significant increases in cell transformation frequencies. A positive finding in an in-vitro assay does not usually trigger reporting, however these findings are submitted as further information to the substantial risk submission of International Isocyanate Institute, Inc. on July 5, 1988 (see 8EHQ-0788-0741).

The information being submitted is not considered Confidential Business Information. Mobay has also submitted these studies under TSCA Section 8(d). The date of this submission was November 22, 1991.

If you have any questions, please contact me.

Sincerely,

Donald W. Lamb, Ph.D

enald W Lamb

Director, Occupational & Product Safety

8E.LTR.vmk Enclosure

Certified Mail No.: P 649 813 874

cc: C. A. Halder*

S. J. Nunley*

J. H. Chapman*

J. H. Vines*

F. J. Rattay*

8(e) File: 91-2-13

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TESTING OF THE CELL TRANSFORMATION ACTIVITY OF HE 1002

IRI Project No. - 703879

Bayer Study No. HE 1002/012



Inveresk Research International

CONFIDENTIAL

TESTING OF THE CELL TRANSFORMATION ACTIVITY OF HE 1002

IRI Project No. 703879

Eayer Study No. HE 1002/012

Authors:

A. Poole W.J. Harris

To:

Issued by:

Bayer AG Inveresk
Institut fur Toxikologie Musselbu:
Friedrich-Ebart-Strasse 217-319 EH21 7UB
Wuppertal 1 Scotland
West Germany

Inveresk Research International Musselburgh EH21 7UB Scotland

November 1980

AUTHENTICATION

"I, the undersigned, hereby declare that this work was performed under my supervision, according to the procedures herein described and that this report represents a true and accurate record of the results obtained."

W.J. Harris, B.Sc., Ph.D.
Principal Investigator

1 The Congot

Project No. 703879

Report No. 1862

QUALITY ASSURANCE AUTHENTICATION

The execution of this type of short-term study is not individually inspected. The processes involved are inspected at intervals according to a pre-determined schedule.

The report has been audited by IRI Quality Assurance personnel according to the appropriate Standard Operating Procedure and is considered to describe the methods and procedures used in the study. The reported results accurately reflect the original data of the study.

IRI FROJECT NO. 703879

Report No. 1862

Signed

Andrew Waddelell

Quality Assurance Manager

Date 3/81 August 1981

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STAFF INVOLVEMENT

Principal Investigator: W.J. Harris, B.Sc., Ph.D.

Project Leader: A. Poole, B.Sc., Ph.D.

Experimental Assistance: N. Hunter, H.N.D.

C. Green, B.Sc.

Quality Assurance: A. Waddell, B.Sc., Ph.D.

SUMMARY

The compound HE 1002 was tested for potential carcinogenicity in a cell culture transformation assay.

Cells treated with HE 1002 in the presence of S-9 caused at the LC_{50} 10.1, 4.2 and 5.5 fold increases in transformation frequency as compared to negative control. In the absence of S-9 1.1 and 9 fold increases were observed.

These significant increases in the transformation frequencies at the LC_{50} were also accompanied by increases in absolute numbers of transformed colonies in cells treated with HE 1002.

Thus, by the criterion used in this laboratory HE 1002 is considered to be a potential cell transforming agent.

INTRODUCTION

3

HE 1002 was tested for potential carcinogenicity by a cell culture transformation assay.

The assay is based on the observations that, following exposure to a carcinogen, malignantly transformed cells unlike their non-malignant counterparts, will undergo sufficient divisions in a soft agar medium to produce macroscopically visible colonies (Shin, et al 1975 and di Mayorca et al 1973).

As normal laboratory stocks of BHK 21 Cl3 cells contain spontaneously transformed variants which frequently cause a high background of macroscopic colonies when plated in soft agar, i.e. $50/10^6$ plated cells. a subline selected from normal stocks able to express the transformed phenotype in response to treatment with chemical carcinogens, but with a low spontaneous transformation rate, was used in these experiments. The procedure used otherwise is a modification of that described by Styles (1977).

This work was carried out at the Inveresk Gate Laboratories of Inveresk Research International, Musselburgh, EH21 7UB between August and October 1980.

MATERIALS AND METHODS

Sterile procedures were used throughout preparation of materials and experimental methods.

Chemicals

The cream solid labelled HE 1002 was received from Bayer AG, Wuppertal, West Germany on the 15 August 1980. The sample was stored in the dark at room temperature.

The positive control substances N-methyl-N'-nitro-N-nitrosoguanidine (MNNG) and 2-acetamidoflucrene (2-AAF) were obtained from Sigma London Chemical Company Limited, U.K. and Koch-Light Laboratories, Colnbrook, Bucks., respectively.

The polychlorinated biphenyl mixture, Aroclor 1254, was received from Analabs Incorporated, Newhaven, Connecticut, U.S.A.

Cells

These were a subline from a sample of BHK 21 Cl3 of Syrian hamster kidney cells provided by the Imperial Cancer Research Fund.

Culture Media

For cell cultivation, the medium was Dulbecco's Modification of Eagle's Minimum Essential Medium (DMEM), with a concentration of sodium bicarbonate of 2 g/l to permit equilibration with a gas phase of 5% $\rm CO_2$ in air, it was supplemented with newborn calf serum (10%, v/v), selected by pre-screening a number of serum samples for cloning efficiency, and contained gentamicin (50 $\mu \rm g/ml$).

For incubation with the compound under test, the cells, in order to prevent clumping during exposure, were suspended in Eagle's Minimum Essential Medium modified for suspension cultures (MEMS), buffered with 20 mM HEPES, the S-+ mixture was added to ascertain if the carcinogenic potential of the compound was affected by the metabolic activity of liver enzymes.

Culture media and sera were obtained from Flow Laboratories, Irvine, Scotland and other chemicals from Sigma London Chemical Company Limited.

Maintenance of Stock Cultures

These were grown as monolayers in Nunc flasks and, in order to minimise selection for spontaneously transformed variants which accumulate when stocks reach confluence (Kao et al. 1975), the cells were never grown to confluency.

For subcultivation, the medium was removed and the cells treated for one minute in a solution of 0.25% trypsin in phosphate buffered balanced salt solution containing EDTA (0.002% w/v). After removal of the enzyme solution, the flasks were left to incubate at 37°C until the cells began to detach from the plastic. 5 ml of fresh culture medium was then added and the cells brought into suspension by repeated aspiration through a sterile 10 ml pipette. Aliquots of the cell suspension were then added to medium in fresh culture flasks, the usual ratio for division of monolayers being 1:30.

Preparation of S-9 Mix

Animal Treatment

Male rats of the Bantin and Kingman Fischer strain weighing between 200 and 300 g were injected (i.p.) with Aroclor 1254

diluted in corn oil to a concentration of 200 mg/ml at a dosage of 500 mg/kg body weight to induce microsomal enzyme activity.

The animals were killed by cervical dislocation 5 days after treatment following a 16 h fasting period.

Preparation of the 9,000 g Supernatant Fluid from Livers

Under aseptic conditions, livers from the freshly killed animals were carefully removed and weighed in sterile beakers containing ice-cold 0.15 M-KCl. Further ice-cold salt solution was added to the beakers to give a final volume equivalent to 3 times the weight of the livers which were subsequently finely chopped with sterile long-handled scissors before being transferred to a Potter homogeniser.

The chopped livers were homogenised in a sterile glass vessel by 8 strokes of a Teflon pestle rotating at about 1,200 r.p.m. The homogenate was then transferred to sterile polypropylene tubes and centrifuged at 9,000 g for 10 min at 0° C.

The supernatant fraction was decanted into sterile containers and stored in liquid nitrogen until required.

S-9

Under aseptic conditions, the S-9 mix was prepared as follows:

Ice-cold 0.05 M-phosphate buffer, pH 7.4, was added to preweighed co-factors to give a final concentration in the S-9 mix of:

NADP-di-Na-salt 4 mM (= 3.366 mg/ml) Glucose-6-phosphate-di-Na-salt 5 mM (= 1.521 mg/ml) $^{\text{MgCl}}_{2}.^{6\text{H}}_{2}^{0}$ 8 mM (= 1.626 mg/ml) KCl 33 mM (= 2.460 mg/ml)

The solution was sterilised by passage through a 0.22 μm filter and mixed with the liver 9,000 g supernatant fluid in the following proportions:

co-factor 1 part liver preparation 1 part

Preliminary Toxicity Test

This was done to establish the range of concentrations to be used in the cell transformation assay.

The cells were harvested and suspended in growth medium as for subculture, sedimented by centrifugation at 200 g for 5 min and resuspended in MEMS at a density of 10⁶ cells/ml. 1 ml samples were then pipetted into plastic universal bottles (Sterilin Limited).

The test material was dissolved in dimethylsulphoxide at concentrations of 100, 10, 1.0, 0.1 and 0.01 mg/ml and 10 µl samples were added to duplicate cell suspensions to give final concentrations of 1,000, 100, 10, 1.0 and 0.1 µg/ml.

After incubation for 4 h at 37°C in an orbital shaker at 150 r.p.m. (New Brunswick, New Jersey) the bottles were centrifuged at 100 g for 4 min and the supernatant medium in each was replaced with 10 ml of growth medium. Each cell suspension was mixed thoroughly in a variable speed vibratory shaker (Fisons Limited), and a 35 µl sample was dispersed evenly in 5 ml growth medium in a 50 mm tissue culture dish (Nunclon Delta). The dishes were incubated for 7 days at 37°C in a humid atmosphere of 5% CO₂ in air. The cultures were then fixed with methanol and stained with Giemsa and the colonies in each dish were manually counted.

Incubation of the suspensions, resuspension of the cells in growth medium and preparation of the cultures for estimation of cell survival were carried out as in the preliminary toxicity test.

After removal of the 35 μ l samples from each bottle for the measurement of toxicity, 625 μ l of 5% solution of agar (Difco Noble) in distilled water was mixed rapidly with the remaining suspension and the mixture poured into a 50 mm bacteriological Petri dish which was left at 4°C for 5 min to allow the agar to gel. The dishes were then incubated for 21 days at 37°C in sealed containers in a humid atmosphere of 5% CO₂ in air.

Quantitation of Transformation

After a 7 day incubation period, the colonies growing in the tissue culture dishes were fixed for 30 min in methanol and stained with Giemsa. The colonies in each dish were counted manually and cell viability at each concentration of test compound was expressed as a percentage of the survivors in the negative control cultures, i.e. those dosed with dimethyl-sulphoxide only.

After 21 days incubation, the cells growing in soft agar were examined with a New Brunswick Biotran II Automatic Colony Counter (New Brunswick, New Jersey) and the number of transformants regarded as colonies with a diameter of > 0.22 mm (Bouck et al 1976), were counted. From the results of the transformation and survival assays the transformation frequency (number of transformed colonies/10⁵ surviving cells) was calculated for each dose.

Evaluation

In the cell transformation test described by Styles (1977), a transformation frequency, at LC₅₀ of the compound under test, of 5 times that for the spontaneous transformation frequency is considered to indicate potential carcinogenicity. Using this method in tests on 120 compounds 91% of carcinogens and 97% of non-carcinogens were correctly distinguished, Purchase et al (1976). This is one criterion applied in the present study to indicate potential carcinogenicity of the test agent. In addition, if the test compound is relatively non-toxic or is insufficiently soluble to achieve a concentration resulting in 50% toxicity, a 2-fold increase in the absolute number of transformed colonies per dish at 2 doses is considered to indicate a positive response.

The clone of BHK 21 Cl3 cells used in these studies may in particular experiments give very low spontaneous transformation levels, i.e. 1 or 2. If this occurs the values obtained with the treated cells are related instead to the average spontaneous transformation rate (5 transformed colonies) found from a series of tests.

RESULTS AND DISCUSSION

From the results of the initial toxicity test (Table 1), a top dose of 2000 $\mu g/ml$ was selected for the transformation assays. The addition of S-9 mix to the incubation mixture appeared to have little effect on the toxicity of HE 1002, the mean LC_{50} values in the presence and absence of S-9 mix being 1,320 (Figures 1-3) and 1290 $\mu g/ml$ (Figures 4 and 5) respectively.

In the presence of S-9 mix HE 1002, at the LC_{50} , caused 10.1, 4.2 and 5.5 fold increases in transformation frequencies relative to that of the negative controls (Figures 1-3). These significant increases in the transformation frequencies at the LC_{50} were also accompanied by large increases in the absolute numbers of transformed colonies in cells treated with relatively non-toxic concentrations of HE 1002 in 2 out of the 3 experiments (Tables 3 and 4).

In the absence of S-9 mix, 1.1 and 9.4 fold increases in transformation frequencies at the LC_{50} were measured (Figures 4 and 5).

These together with absolute increases in the numbers of transformed colonies in cells treated with HE 1002 below the LC_{50} level also suggests a positive response (Tables 5 and 6).

The results obtained in this study indicate that HE 1002 should be regarded as a potential cell transforming agent.

CONCLUSION

By the criterion used in this assay, HE 1002 showed evidence of cell transforming potential.

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- (1) Bouck, N and di Mayorca, G. (1976), Nature, 264, 722.
- (2) di Mayorca, G., Graenblatt, M., Trauthen, T., Soller, A. and Giordano, R. (1973), Proc. Nat. Acad. Sci., 70, 46.
- (3) Kao, F. and Puck, T.T. (1975), Genetics, 79, 343.
- (4) Purchase, I.F.H., Longstaff, E., Ashbey, J., Styles, J.A., Anderson, D., Lefeure, P.A. and Westwood, F.R. (1976), Nature, 264, 524.
- (5) Shin, S., Freedman, V.H., Risser, R. and Pollack, R. (1975), Proc. Nat. Acad. Sci., 72, 4435.
- (6) Styles, J. (1977), Brit. J. Cancer, <u>36</u>, 558.

Cytotoxicity Test

Project No:	703879	Substance: HE 1002
Contractor:	Bayer	Activation: None
Operator(s):	Alan Poole	Liver preparation date: None
		Cell culture batch: 79.6 (4.1)
Date of test:	19 August 1980	Date survival assay counted: 26 August 1980

Substance Quantity	Survival Assay	Colonies/plate	Survival as Percentage of Negative Control	
#S/ml		Average		
1000	82, 67	74.5	45	
100	141, 166	153.5	92	
10	153, 156	154.5	93	
1.0	152, 171	161.5	97	
0.1	171, 177	174	104	
DMSO Control	170, 164	167	100	

Cell Transformation

Project No:

703879

Substance: HE 1002

Contractor:

Bayer

Alan Poole, Nick Hunter, Catherine Green

Activation: Arcclor-induced Fischer Rat Liver preparation date: 13 August 1980

Operator(s):

Date of test: 28 August 1980

Cell culture batch: 78.13 (2)

Date survival assay counted: 3 September 1980

Date transformation assay counted: 17 September 1980

Substance Quantity µg/ml	Surviva Colonie	l Assay s/plate	Transfor Ass Colonie	av	Viable Count	Transformed Colonies/10 ⁵ Viable Cells	Survival as Percentage of Negative
		Average		Average	× 10 ⁴		Control
HE 1002							
2000	1, 0,	0.3	0, •,	0	0.0086	0	0
1000	6,128, 158	97.3	20, 40,	25.7	2.78	92	51
500	130,149,	140.3	57, 71, 71	66.3	4.01	165	74
250	145,165, 183	164.3	0, 77,	35.3	4.70	75	87
125	177,160, 212	183	53, 36, 91	60	5.23	115	97
2-AAF							
600	0, 0,	0.3	0, 0,	1	0.0086	1163	0
300	13, 1,	5	0, 6,	4	0.14	286	3
150	8, 22, 37	22.3	2, 7,	5.7	0.64	89	12
75	216,170,	187.7	•, 3, 8	5.5	5.36	10	99
DMSO		7:					
Control	184,186,	189.3	5, 7,	4.7	5.41	9	100

e Petri dish contaminated

Cell Transformation

Project No:

703879

Substance:

HE 1002

Contractor:

Bayer Alan Poole, Nick Hunter, Catherine Green

Activation: Aroclor-induced Fischer Rat Liver preparation date: 13 August 1980

Operator(s):

Date of test: 8 September 1980

Cell culture batch: 78.13 (4)

Date survival assay counted: 19 September 1980

Date transformation assay counted: 29 September

Substance Quantity µg/ml	Surviva Colonie	l Assay s/plate	Transfo Ass Colonie	av	Viable Count x 10 ⁴	Transformed Colonies/10 ⁵ Viable Cells	Survival as Percentage of Negative
		Average		Average	x 10	7.7	Control
HE 1002							
2000	0, 0,	0	0, 0,	0	0	0	0
1000	369,185, 170	241.3	25,12,	18.5	6.90	27	62
500	280,263, 291	278	25,41, 35	33.7	7.94	42	72
250	313,420, 383	372	6,33,	19.5	10.63	18	96
125	319,412, 383	371.3	42,13, ●	27.5	10.61	26	96
2-AAF							
600	2, 8,	4	1, 4,	3	0.11	273	1
300	3, 7,	3.7	4, 2,	3	0.11	273	1
150	8, 7, 9	8	8,10, 9	9	0.23	391	2
75	451,409, 272	377.3	12, 7,	9.5	10.78	9	98
DMSO							
Control	484,354 321	386.3	5, 5,	5	11.04	5	100

[·] Petri dish contaminated

Cell Transformation

Project No:

703879

Substance: HE 1002

Contractor:

Bayer Alan Poole, Nick Hunter, Catherine Green Activation: Aroclor-induced Fischer Rat Liver preparation date: 13 August 1980

Operator(s):

Date of test: 11 September 1980

Cell culture batch: 79.5 (12)

Date survival assay counted: 22 September 1980

Date transformation assay counted: 2 October 1980

Substance Quantity µg/ml	Surviva Colonie	l Assay s/plate	Ass	rmation ay s/plate	Viable Count	Transformed Colonies/105 Viable Cells	Survival as Percentage of Negative Control
		Average		Average	× 10 ⁴		
HE 1002							
2000	0,156, 282	146	33,11,	22.0	4.17	53	0 44
1000	280,197,	239	4, 3,	3.5	6.83	5	73
500	240 288,251, 261	266.7	24,34,	29.0	7.62	38	81
250	304,273, 258	278.3	47,29,	34.0	7.95	43	85
125	274, e, 290	282.0	0, 9, 11	6.7	8.06	8	86
2-AAF							
600	48, 44, 52	48.0	7, 4,	4.3	1.37	31	15
300	104, 50, 131	95.0	•,12, 9	10.5	2.71	39	29
150	163,107, 129	133.0	41,40,	38.3	3.80	101	40
75	241,255, 256	250.7	0, 4,	1.3	7.16	2	76
DMSO							V
Control	353,332,	328.7	4, •,	7.5	9.39	a	100

[·] Petri dish contaminated

Cell Transformation

Project No: Contractor: 703879

Bayer

Alan Poole, Nick Hunter, Catherine Green

Operator(s): Date of test: 8 September 1980

. . . 9

Date survival assay counted: 22 September 1980

Date transformation assay counted: 29 September 1980

Substance: HE 1002 Activation: None

Liver preparation date: None Cell culture batch: 78.13 (4)

Transformation Survival Assay Colonies/plate Transformed Colonies/10⁵ Viable Cells Viable Survival as Substance Assay Colonies/plate Percentage of Negative Control Count x 10⁴ Quantity µg/ml Average Average HE 1002 2000 1 0, 0, 1, 2, 0 0 0 0 0 1000 188,171, 192.3 8,18, 13 5.49 24 73 218 500 243,188, 217.3 28,23, 25.5 6.21 41 83 221 203,196, 8,26, 14.7 5.83 25 78 213 10 240,256, 251.3 7, 6, 125 6.5 7.18 96 258 . MNNG 0.3 0, 0, 0 0, 0, 0 0 0 0 0 65, 71, 0.15 45.3 18,17, 14.3 1.29 111 17 0 8 0.075 212,165, 200.3 14,10, 12.3 5.72 22 77 13 224 0.0375 242,227 246.3 6, 4, 7.04 270 1 DMSO 316,236, 261.7 6,11, 10.7 Control 7.48 14 100 15 233

[·] Petri dish contaminated

Cell Transformation

Substance: HE 1002

Liver preparation date: None

Cell culture batch: 79.5 (12)

Activation: None

Project No:

Operator(s):

703879

Contractor:

Bayer

Alan Poole, Nick Hunter, Catherine Green

Date of test: 11 September 1980

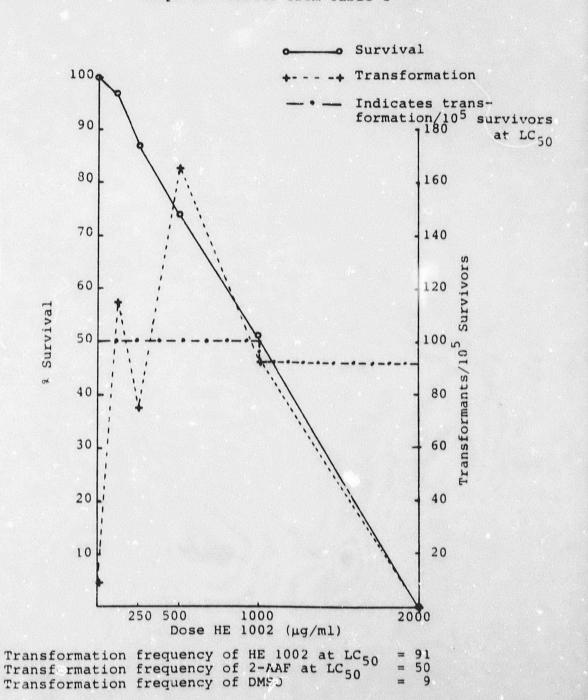
Date survival assay counted: 22 September 1980

Date transformation assay counted: 2 October 1980

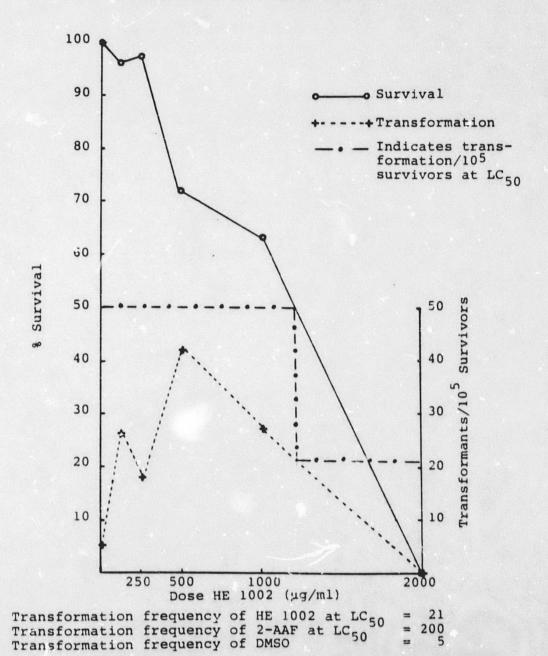
Substance Quantity µg/ml	Surviva Colonie	l Assay s/plate	Ass	ormation say ss/plate	Viable Count	Transformed Colonies/10 ⁵ Viable Cells	Survival as Percentage of Negative
		Average		Average	× 10 ⁴		Control
HE 1002							
2000	0, 0,	0	0, 0,	0	0	0	0
1000	217,122,	178.7	28,28, 27	27.7	5.11	54	58
500	215,154, 195	188	81,15, 19	38.3	5.37	71	61
250	218,220,	218	33,36, 16	28.3	6.23	45	71
125	247,264, 267	259.3	35,12,	23.5	7.41	32	85
MINING							
0.3	35, 39, 63	45.7	16,26, 24	22.0	1.31	168	15
0.15	246,141,	206.7	11,16,	12.7	5.91	21 —	67
0.075	291,222,	253	3,17, 6	9.3	7.23	13	83
0.0375	313,282, 261	285.3	•,11, 5	8.0	8.15	10	93
DMSO		• •					
Control	354,291, 275	306.7	6, 4,	4.7	8.76	5	100

[•] Petri dish contaminated

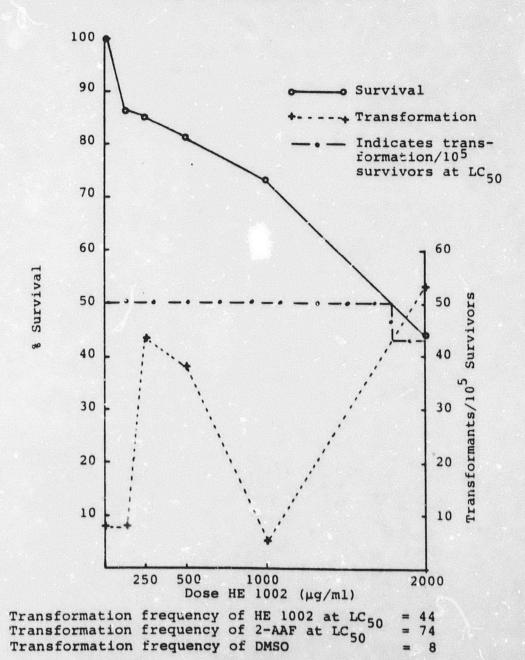
Survival and Transformation Frequency of BHK 21 C13 Cells Treated with HE 1002 in the Presence of S-9 Activation Graph of Results from Table 2



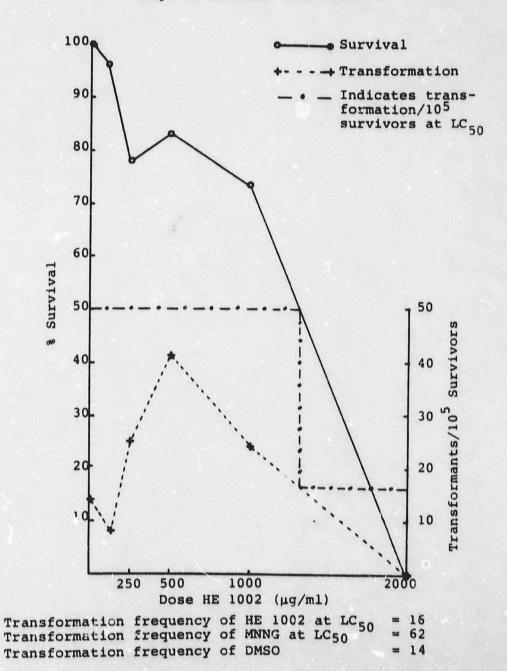
Survival and Transformation Frequency of BHK 21 C13 Cells Treated with HE 1002 in the Presence of S-9 Activation Graph of Results from Table 3



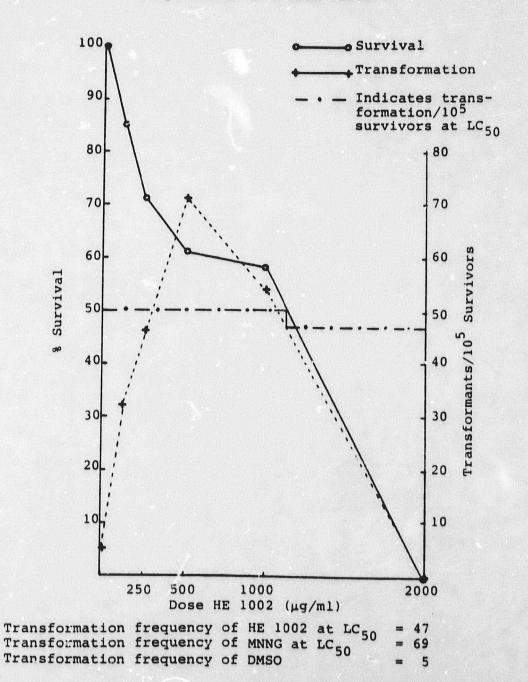
Survival and Transformation Frequency of BHK 21 C13 Cells Treated with HE 1002 in the Presence of S-9 Activation Graph of Results from Table 4



Survival and Transformation Frequency of BHK 21 C13 Cells Treated with HE 1002 in the Absence of S-9 Activation Graph of Results from Table 5



Survival and Transformation Frequency of BHK 21 C13 Cells
Treated with HE 1002 in the Absence of S-9 Activation
Graph of Results from Table 6



CONTAINS NO CBI

ACTIVITY OF HE 1003

IRI Project No. 703863

Bayer Study No. HE 1003/013



IRI Inveresk Research Internationa

CONFIDENTIAL

TESTING OF THE CELL TRANSFORMATION ACTIVITY OF HE 1003

IRI Project No. 703863

Bayer Study No. HE 1003/013

Authors:

A. Poole

W.J. Harris

To:

Issued by:

Bayer AG

Institut fur Toxikologie

Friedrich-Ebart-Strasse 217-319 EH21 7UB

Wuppertal 1

West Germany

Inveresk Research International

Musselburgh

Scotland

November 1980

Testing of the cell transformation activity of HE 1003

IRI Project No. 70 38 63

Bayer Study No. HE 1003/013

Bei HE 1003 handelt es sich um Desmodur 44 V 20. Desmodur 44 V 20 (MDI) ist ein technisches Gemisch mit der Summenformel $\rm C_{15}^{H}_{10}^{O}_{2}^{N}_{2}$ und der Struktur

NCO
$$-\langle \overline{O} \rangle$$
 - CH_2 $-\langle \overline{O} \rangle$ - OCN

Die Untersuchung trug die BAYER Studie Nr.:

DESMODUR 44 V 20/013

Wuppertal, den 22. September 1981

Dr. B. Herbold

Monitor

AUTHENTICATION

"I, the undersigned, hereby declare that this work was performed under my supervision, according to the procedures herein described and that this report represents a true and accurate record of the results obtained."

W.J. Harris, B.Sc., Ph.D.

Who Expor

Principal Investigator

Project No. 703863

Report No. 1863

QUALITY ASSURANCE AUTHENTICATION

The execution of this type of short-term study is not individually inspected. The processes involved are inspected at intervals according to a pre-determined schedule.

The report has been audited by IRI Quality Assurance personnel according to the appropriate Standard Operating Procedure and is considered to describe the methods and procedures used in the study. The reported results accurately reflect the original data of the study.

IRI PROJECT NO. 703863

Report No. 1863

Signed

Quality Assurance Manager

Date 3/11 August 1981.

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STAFF INVOLVEMENT

Principal Investigator: W.J. Harris, B.Sc., Ph.D.

Project Leader: A. Poole, B.Sc., Ph.D.

Experimental Assistance: N. Hunter, H.N.D.

C. Green, B.Sc.

Quality Assurance: A. Waddell, B.Sc., Ph.D.

SUMMARY

The compound HE 1003 was tested for potential carcinogenicity in a cell culture transformation assay.

Cells treated with HE 1003, in the presence of S-9, caused at the LC₅₀, 47.2, 13.2, 1.8 and 10.2 fold increases in transformation frequency as compared to the negative controls. In the absence of S-9 3.1, 5.6 and 13.5 fold increases were observed.

The significant increases in the transformation frequencies at the LC₅₀ were also accompanied by increases in absolute numbers of transformed colonies in cells treated with HE 1003.

By the criterion used in this laboratory HE 1003 is considered to be a potential cell transforming agent.

INTRODUCTION

HE 1003 was tested for potential carcinogenicity by a cell culture transformation assay.

The assay is based on the observations that, following exposure to a carcinogen, malignantly transformed cells unlike their non-malignant counterparts, will undergo sufficient divisions in a soft agar medium to produce macroscopically visible colonies (Shin, et al 1975 and di Mayorca et al 1973).

As normal laboratory stocks of BHK 21 C13 cells contain spontaneously transformed variants which frequently cause a high background of macroscopic colonies when plated in soft agar, i.e. $50/10^6$ plated cells, a subline selected from normal stocks able to express the transformed phenotype in response to treatment with chemical carcinogens, but with a low spontaneous transformation rate, was used in these experiments. The procedure used otherwise is a modification of that described by Styles (1977).

This work was carried out at the Inveresk Gate Laboratories of Inveresk Research International, Musselburgh, EH21 7UB between August and October 1980.

MATERIALS AND METHODS

Sterile procedures were used throughout preparation of materials and experimental methods.

Chemicals

The brown liquid labelled HE 1003 was received from Bayer AG, Wuppertal, West Germany on the 15 August 1980. The sample was stored in the dark at room temperature.

The positive control substances N-methyl-N'-nitro-N-nitrosoguanidine (MNNG) and 2-acetamidofluorene (2-AAF) were obtained from Sigma London Chemical Company Limited, U.K. and Koch-Light Laboratories, Colnbrook, Bucks., respectively.

The polychlorinated biphenyl mixture, Aroclor 1254, was received from Analabs Incorporated, Newhaven, Connecticut, U.S.A.

Cells

These were a subline from a sample of BHK 21 Cl3 of Syrian hamster kidney cells provided by the Imperial Cancer Research Fund.

Culture Media

For cell cultivation, the medium was Dulbecco's Modification of Eagle's Minimum Essential Medium (DMEM), with a concentration of sodium bicarbonate of 2 g/l to permit equilibration with a gas phase of 5% CO_2 in air, it was supplemented with newborn calf serum (10%, v/v), selected by pre-screening a number of serum samples for cloning efficiency, and contained gentamicin (50 μ g/11).

For incubation with the compound under test, the cells, in order to prevent clumping during exposure, were suspended in Eagle's Minimum Essential Medium modified for suspension cultures (MEMS), buffered with 20 mM HEPES, the S-9 mixture was added to ascertain if the carcinogenic potential of the compound was affected by the metabolic activity of liver enzymes.

Culture media and sera were obtained from Flow Laboratories, Irvine, Scotland and other chemicals from Sigma London Chemical Company Limited.

Maintenance of Stock Cultures

These were grown as monolayers in Nunc flasks and, in order to minimise selection for spontaneously transformed variants which accumulate when stocks reach confluence (Kao et al 1975), the cells were never grown to confluency.

For subcultivation, the medium was removed and the cells treated for one minute in a solution of 0.25% trypsin in phosphate buffered balanced salt solution containing EDTA (0.002% w/v). After removal of the enzyme solution, the flasks were left to incubate at 37°C until the cells began to detach from the plastic. 5 ml of fresh culture medium was then added and the cells brought into suspension by repeated aspiration through a sterile 10 ml pipette. Aliquots of the cell suspension were then added to medium in fresh culture flasks, the usual ratio for division of monolayers being 1:30.

Preparation of S-9 Mix

Animal Treatment

Male rats of the Bantin and Kingman Fischer strain weighing between 200 and 300 g were injected (i.p.) with Aroclor 1254

diluted in corn oil to a concentration of 200 mg/ml at a dosage of 500 mg/kg body weight to induce microsomal enzyme activity.

The animals were killed by cervical dislocation 5 days after treatment following a 16 h fasting period.

Preparation of the 9,000 g Supernatant Fluid from Livers

Under aseptic conditions, livers from the freshly killed animals were carefully removed and weighed in sterile beakers containing ice-cold 0.15 M-KCl. Further ice-cold salt solution was added to the beakers to give a final volume equivalent to 3 times the weight of the livers which were subsequently finely chopped with sterile long-handled scissors before being transferred to a Potter homogeniser.

The chopped livers were homogenised in a sterile glass vessel by 8 strokes of a Teflon pestle rotating at about 1,200 r.p.m. The homogenate was then transferred to sterile polypropylene tubes and centrifuged at 9,000 g for 10 min at 0° C.

The supernatant fraction was decanted into sterile containers and stored in liquid nitrogen until required.

5-9

Under aseptic conditions, the S-9 mix was prepared as follows:

Ice-cold 0.05 M-phosphate buffer, pH 7.4, was added to preweighed co-factors to give a final concentration in the S-9 mix of:

NADP-di-Na-salt 4 mM (= 3.366 mg/ml) Glucose-6-phosphate-di-Na-salt 5 mM (= 1.521 mg/ml) $MgCl_2.6H_2O$ 8 mM (= 1.626 mg/ml) KCl 33 mM (= 2.460 mg/ml)

The solution was sterilised by passage through a 0.22 μm filter and mixed with the liver 9,000 g supernatant fluid in the following proportions:

co-factor 1 part liver preparation 1 part

Preliminary Toxicity Test

This was done to establish the range of concentrations to be used in the cell transformation assav.

The cells were harvested and suspended in growth medium as for subculture, sedimented by centrifugation at 200 g for 5 min and resuspended in MEMS at a density of 10⁶ cells/ml. 1 ml samples were then pipetted into plastic universal bottles (Sterilin Limited).

The test material was dissolved in dimethylsulphoxide at concentrations of 100, 10, 1.0, 0.1 and 0.01 mg/ml and 10 μ l samples were added to duplicate cell suspensions to give final concentrations of 1,000, 160, 10, 1.0 and 0.1 μ g/ml.

After incubation for 4 h at 37°C in an orbital shaker at 150 r.p.m. (New Brunswick, New Jersey) the bottles were centrifuged at 100 g for 4 min and the supernatant medium in each was replaced with 10 ml of growth medium. Each cell suspension was mixed thoroughly in a variable speed vibratory shaker (Fisons Limited), and a 35 µl sample was dispersed evenly in 5 ml growth medium in a 50 mm tissue culture dish (Nunclon Delta). The dishes were incubated for 7 days at 37°C in a humid atmosphere of 5% CO₂ in air. The cultures were then fixed with methanol and stained with Giemsa and the colonies in each dish were manually counted.

From the toxicity data 5 doses were selected for use in the transformation assays.

Cell Transformation Assay

Triplicate plates were used at each dose level of test compound and all experiments were repeated.

For all tests the procedure was described below.

Samples of BHK 21 C13 cells were harvested, suspended in MEMS with or without S-9 mix (5% v/v), and distributed among sterile plastic universal battles as in the preliminary toxicity test.

The compound was lissolved in dimethylsulphoxide at the following concentrations:

200, 100, 50, 25 and 12.5 mg/ml

Triplicate cell suspensions, with and without S-9 mix, received 10 μ l samples of the test solutions.

The positive control compounds were N-methyl-N'-nitro-N-nitroso-guanidine (MNNG) for the S-9-free suspensions and 2-acetamido-fluorene (2-AAF) for the S-9-supplemented suspensions. These were dissolved in dimethylsulphoxide in concentrations giving on dilution 1-100 in cell suspension the following levels:

MNNG:

0.3, 0.15, 0.075 and 0.375 μ g/ml

2-AAF:

600, 300, 150 and 75 μ g/ml

Triplicate negative control suspensions received 10 μl of dimethylsulphoxide.

Incubation of the suspensions, resuspension of the cells in growth medium and preparation of the cultures for estimation of cell survival were carried out as in the preliminary toxicity test.

After removal of the 35 μ l samples from each bottle for the measurement of toxicity, 625 μ l of 5% solution of agar (Difco Noble) in distilled water was mixed rapidly with the remaining suspension and the mixture poured into a 50 mm bacteriological Petri dish which was left at 4°C for 5 min to allow the agar to gel. The dishes were then incubated for 21 days at 37°C in sealed containers in a humid atmosphere of 5% CO₂ in air.

Quantitation of Transformation

After a 7 day incubation period, the colonies growing in the tissue culture dishes were fixed for 30 min in methanol and stained with Giemsa. The colonies in each dish were counted manually and cell viability at each concentration of test compound was expressed as a percentage of the survivors in the negative control cultures, i.e. those dosed with dimethyl-sulphoxide only.

After 21 days incubation, the cells growing in soft agar were examined with a New Brunswick Biotran II Automatic Colony Counter (New Brunswick, New Jersey) and the number of transformants regarded as colonies with a diameter of > 0.22 mm (Bouck et al 1976), were counted. From the results of the transformation and survival assays the transformation frequency (number of transformed colonies/10⁵ surviving cells) was calculated for each dose.

Evaluation

In the cell transformation test described by Styles (1977), a transformation frequency, at LC₅₀ of the compound under test, of 5 times that for the spontaneous transformation frequency is considered to indicate potential carcinogenicity. Using this method in tests on 120 compounds 91% of carcinogens and 97% of non-carcinogens were correctly distinguished, Purchase et al (1976). This is one criterion applied in the present study to indicate potential carcinogenicity of the test agent. In addition, if the test compound is relatively non-toxic or is insufficiently soluble to achieve a concentration resulting in 50% toxicity, a 2-fold increase in the absolute number of transformed colonies per dish at 2 doses is considered to indicate a positive response.

The clone of BHK 21 C13 cells used in these studies may in particular experiments give very low spontaneous transformation levels, i.e. 1 or 2. If this occurs the values obtained with the treated cells are related instead to the average spontaneous transformation rate (5 transformed colonies) found from a series of tests.

RESULTS AND DISCUSSION

From the results of the toxicity test (Table 1), a top dose of 2000 μ g/ml was selected for the transformation assays. The addition of S-9 mix to the incubation mixture appeared to have only a slight effect on the toxicity of HE 1003, the mean LC 50 values in the presence and absence of S-9 mix being 1380 (Figures 1-3) and 1140 μ g/ml (Figures 5-7) respectively.

In the presence of S-9 mix, HE 1003, at the LC_{50} , caused 47.2, 13.2, 1.9 and 10.4 fold increases in transformation frequencies relative to that of the negative controls (Figures 1-4). These significant increases in the transformation frequencies at the LC_{50} were also accompanied by large increases in the absolute numbers of transformed colonies in cells treated with relatively non-toxic concentrations of HE 1003 (Tables 2-5).

In the absence of S-9 mix 3.1, 5.6 and 14 fold increases in transformation frequencies at the LC_{50} were measured (Figures 5-7). This, together with the increase in absolute numbers of transformed colonies in cells treated with HE 1003 below the LC_{50} level, indicates that the compound is a potential cell transforming agent (Tables 5-8).

The results obtained in this study indicate that HE 1003 should be regarded as a potential cell transforming agent.

CONCLUSION

By the criterion used in this assay, HE 1003 showed evidence of cell transforming potential.

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Cytotoxicity Test

Project No: 703863 Substance: HE 1003

Contractor: Bayer Activation: None

Operator(s): Alan Poole Liver preparation date: None

Cell culture batch: 79.6 (4.1)

Date of test: 19 August 1980 Date survival assay counted: 26 August 1980

Substance Quantity	Survival Assay	Survival "	
μg/ml		Average	Negat. Control
1000	90, 68	79	47
100	130, 157	143.5	86
10	165, 159	162	97
1.0	181, 158	169.5	101
0.1	179, 164	171.5	103
DMSO			
Control	170, 164	167	100

TABLE 2

Cell Transformation

Project No: 703863

Contractor: Bayer A

Operator(s): Alan Poole, Nick Hunter, Catherine Green

Date of test: 28 August 1980 Date survival assay counted: 3 September 1980

Date transformation assay counted: 17 September 1980

Substance: HE 1003

Activation: Aroclor-induced Fischer Rat Liver preparation date: 13 August 1980

Cell cylture batch: 78.13 (2)

Transformation Survival 48
Percentage of
Negative
Control Viable Count x 10⁴ Transformed Colonies/10⁵ Viable Cells Survival Assay Colonies/plate Assay Colonies/plate Substance Quantity µg/ml Average Average HE 1003 3.3 7.5 0.09 833 2000 8, 0, . 4, 2 11 1000 230,142, 161.3 . ., 67 4.61 145 85 112 67 212,206, 196.7 140,171, 153 5.62 272 104 500 148 172 159,195, 185.3 61, 97, 79 149 98 5.29 250 202 144,188, 173.7 63, 69, 70 4.96 141 92 125 189 78 2-AAF 0 0.3 0.0086 1163 0, 0, 0, 0, 600 3 1 5 0. 6, 4 0.14 286 13, 1, 300 1 150 8, 22, 22.3 7. 5.7 0.64 89 12 37 8 99 187.7 216,170, 3, 5.5 5.36 10 8 177 DMSO 184,186, 189.3 5, 7, 4.7 5.41 100 Control 2 198

e Petri dish contaminated

Cell Transformation

Project No: 703863

Contre tory

Bayer

Opera' (3): Alan Poole, Nick Hunter, Catherine Green

Date or test: 8 September 1980

Date survival assay counted: 19 September 1980

Substance: HE 1003

Activation: Acceler-induced Fischer Rat Liver preparation date: 13 August 1980

Cell culture batch: 78.13 (4)

Date transformation assay counted: 29 September 1980

Substance Quantity µg/ml	Survival Assay Colonies/plate		Transformation Assay Colonies/plate		Viable Count	Transformed Colonies/10 ⁵ Viable Cells	Survival as Percentage or Negative
		Average		Average	× 10 ⁴		Control
HE 1003							
2000	110,153	131.5	36,19,	27.5	3.76	73	34
1000	173,413,	299	19,39, 81	46.3	8.54	54	77
500	385,262, 276	307.7	25,38,	31.5	8.79	36	80
250	248,324,	309	22,34,	28.0	8.83	32	60
125	264,402, 293	319.7	6,59, 21	28.7	9.13	31	83
2-AAF							
600	2, 8,	4	1, 4,	3.0	0.11	273	1
300	3, 7,	3.7	4, 2,	3.0	0.11	273	1
150	8, 7,	8	8,10,	9.0	0.23	391	2
75	451,409, 272	377.3	12, 7,	9.5	10.78	9	98
DMSO Control	484,354, 321	386.3	5, 5,	5	4, 34	5	100

e Petri dish contaminated

TABLE 4

Cell Transformation

Project No: 703863 Contractor:

Bayer

Operator(s): Alan Poole, Nick Hunter, Catherine Green

Date of test: 11 September 1980

Date survival assay counted: 22 September 1980 Date transformation assay counted: 2 October 1980 Substance: HE 1003

Activation: Aroclor-induced Fischer Rat

Liver preparation date: 13 August 1980

Cell culture batch: 79.5 (12)

Substance Quantity µg/ml	Survival Assay Colonies/plate		Transformation Assay Colonies/plate		Viable Count	Transformed Colonies/10 ⁵ Viable Cells	Survival as Percentage of Negative
		Average		Average	× 10 ⁴		Control
HE 1003							
2000	0, 0,	0	0, 0,	0	0	0	0
1000	296,308, 278	294	20, •, 23	21.5	8.40	26	89
500	306,301	300	6, 4,	3.3	8.57		91
250	309,275, 268	284	6, 0,	3.0	8.11		86
125	306,298, 301	301.7	1, 6, 2	3.0	8.62	3	92
2-AAF							
600	48, 44, 52	48.0	7, 4,	4.3	1.37	31	15
300	104, 50,	95.0	•,12, 9	10.5	2.71	39	29
150	163,107,	133.0	41,40,	38.3	3.80	101	40
75	241,255, 256	250.7	0, 4,	1.3	7.16	2	76
DMSO							
Control	353,332,	328.7	4, 0,	7.5	9.39	•	100

[·] Petri dish contaminated

Cell Transformation

Project No: 703863 Contractor: Bayer

Operator(s): Alan Poole, Nick Hunter, Catherine Green

Date of test: 16 September 1980

Date survival assay counted: 26 September 1980
Date transformation assay counted: 7 October 1980

Substance: HE 1003

Activation: Arcelor-induced Fischer Rat Liver preparation date: 13 August 1980

Cell culture batch: 78.13 (3)

Substance Quantity µg/ml	Survival Assay Colonies/plate		Transformation Assay Colonies/plate		Viable Count	Transformed Colonies/10 ⁵ Viable Cells	Survival as Percentage of Negative
		Average		Average	× 10 ⁴		Control
HE 1003							
2000	0, 0, 23	7.7	0, 0,	0	0.22	C	2
1000	229,291,	250.3	•,49,	49.0	7.15	69	67
500	208,223,	215.5	74,49,	57.3	6.16	93	58
250	219,326, 396	313.7	12, 0,	12.0	8.96	13	83
125	249,267, 317	277.7	25,31, 34	30.0	7.93	38	74
2-AAF							
600	1, 0,	0.5	1, 3,	3.7	0.01	3700	0
300	49, 1, 15	21.7	26, 4,	15.0	0.62	242	6
150	16, 46, 95	52.3	26,18,	22.0	1.50	147	14
75	253,372, 306	310.3	25,14, 12	17.0	8.87	19	83
DMSO Control	432,307,	374.3	5, 6, 4	5.0	10.70	5	100

e Petri dish contaminated

Cell Transformation

Project No: 703863

Bayer

Substance: HE 1003

Contractor:

Activation: None

Operator (s): Alan Poole, Nick Hunter, Catherine Green

Liver preparation date: None

Date of test: 8 September 1980

Cell culture batch: 78.13 (4)

Date survival assay counted: 22 September 1980

Date transformation assay counted: 29 September 1980

Substance Quantity µg/ml	Survival Asray Colonies/plate		Transformation Assay Colonies/plate		Viable Count	Transformed Colonies/10 ⁵ Viable Cells	Survival as Percentage of Negative
		Average		Average	× 10 ⁴	Alabia carrs	Control
HE 1003							
2000	1, 5, 5	3.7	1, 2,	1.0	0.11	91	1
1000	241,187,	205	8,12,	10.0	5.86	17	73
500	213,362,	283	28,25,	26.7	8.09	33	103
250	228,261,	241.3	14,17,	14.3	5.90	21	92
125	235,265,	243.3	6, 4,	5.0	6.95	7	93
MNNG							
0.3	0, 0,	0	0, 0,	0	0	0	0
0.15	65, 71,	45.3	18,17, 8	14.3	1.29	111	17
0.075	212,165,	200.3	14,10,	12.3	5.72	22	77
0.0375	242,227, 270	246.3	6, 4, 1	3.7	7.04	5	94
OMSO						· ·	
Control	316,236, 233	261.7	6,11, 15	10.7	7.48	14	100

e Petri dish contaminated

Cell Transformation

Project No: 703863

Contract or: Bayer

Operator(s): Alan Pour Nick Hunter, Catherine Green

Substance: HE 1003

Activation: None

Liver preparation date: None

Cell culture batch: 79.5 (12)

Date of test: 11 September 1980

Date survival assay counted: 22 Syptember 1980 Date transformation assay counted: 2 October 1980

Substance Quantity µg/ml	Survival Assay Colonies/plate		Transformation Assay Colonies/plate		Viable Count	Transformed Colonies/105 Viable Cells	Survival as Percentage of Negative
		Average		Atterage	× 10 ⁴		Control
HE 1003							
2000	0, 0,	0.7	0, •,	0	0.02	0	0
1000	180,228, 359	255.7	49,19,	34.0	7.3	47	83
500	354,248, 257	286.3	40,30, 38	36.0	8.18	44	93
250	294,245,	277.3	14,18,	17.7	7.92	22	90
125	417,267,	342.0	13, 4,	6.3	9.77	6	112
MNNG							
0.3	35, 39, 63	45.7	16,26, 24	22	1.31	168	15
0.15	246,141,	206.7	11,16,	12.7	5.91	21	67
0.075	291,222,	253	3,17,	9.3	7.23	13	83
0.0375	313,282	285.3	•,11, 5	8.0	8.15	10	93
DMSO							
Control	354,291, 275	306.7	6, 4,	4.7	8.76	5	100

e Petri dish contaminated

Cell Transformation

Project No: 703863 Contractor:

Bayor

Substance: HE 1003

Activation: None

Operator(s): Alan Poole, Nick Hunter, Cathering Green

Liver preparation date: None Cell culture batch: 78.13 (3)

Date of test: 16 September 1980

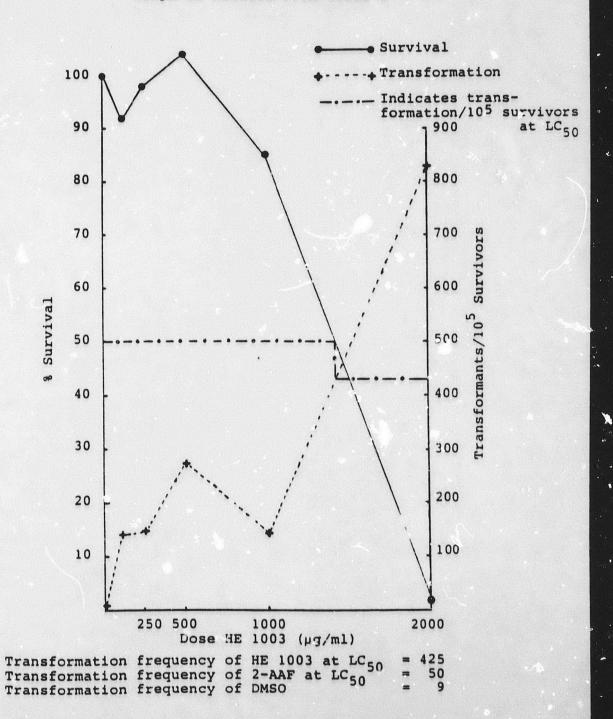
Date survival assay counted: 26 September 1980

Date transformation assay counted: 7 October 1980

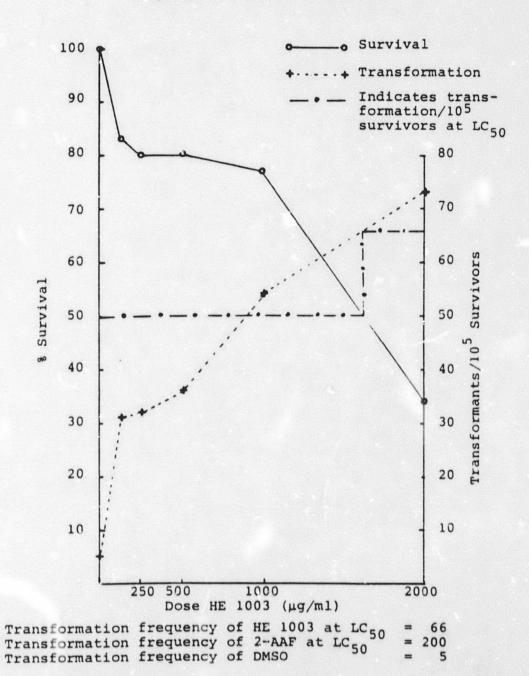
Substance Quantity µg/ml	Survival Assay Colonies/plate		Transformation Assay Cotonies/plate		Viable Count	Transformed Celonies/10 ⁵ Viable Cells	Survival as Percentage of Negative
		Average		Average	× 10 ⁴	34000 00110	Control
HE 1003							
2000	0, 0,	1.3	0.0,	3	0.04	0	0
1000	0, 12, 73	28.3	38, e, 25	31.5	0.81	389	8
500	179, •,	179	23, •,	12.5	5.11	24	53
250	356,269,	282.3	78,62, 46	62.0	8.07	17	84
125	330,138, 150	206.0	64,39, 58	53.7	5.89	91	61
MNNG							
0.3	10, 38,	36.7	•, 3, 5	4.0	1.05	38	11
0.15	130,149,	148.7	12, 8, 27	15.7	4.25	37	-14
0.075	252,208, 310	256.7	12,11,	15.7	7.33	21	76
0.0375	318,237, 277	277.3	12, 5, 8	8.3	7.92	11	82
DMSO							100
Control	324,315, 375	338	3, 3,	3.3	9.66	•	100

e Petri dish contaminated

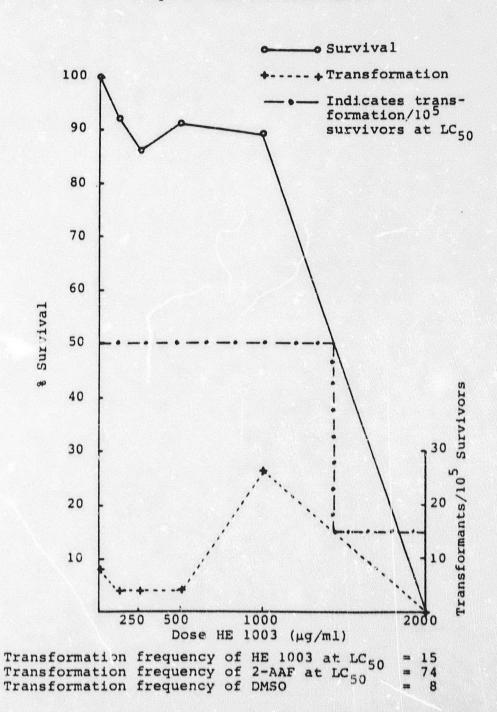
Survival and Transformation Frequency of BHK 21 C13 Cells Treated with HE '003 in the Presence of S-9 Activation Graph of Results from Table 2



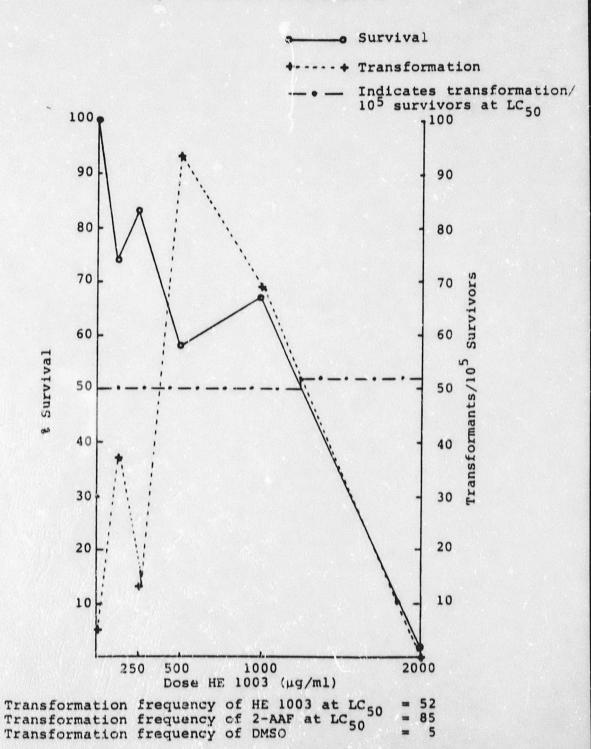
Survival and Transformation Frequency of BHK 21 C13 Cells
Treated with HE 1003 in the Presence of S-9 Activation
Graph of Results from Table 3



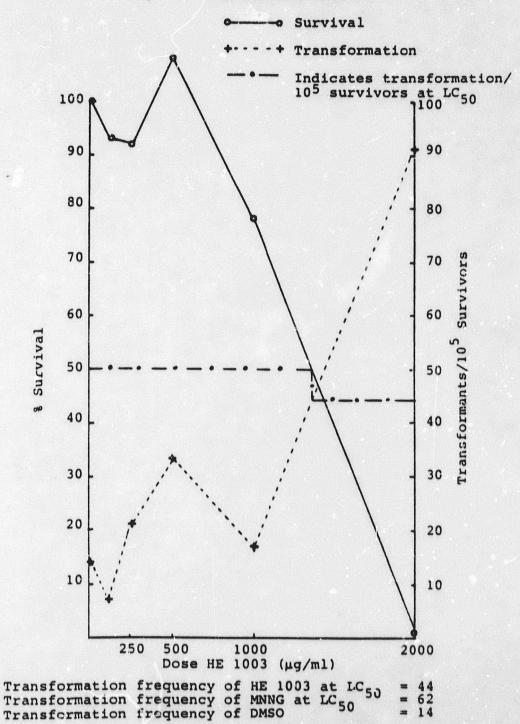
Survival and Transformation Frequency of BHK 21 Cl3 Cells
Treated with HE 1003 in the Presence of S-9 Activation
Graph of Results from Table 4



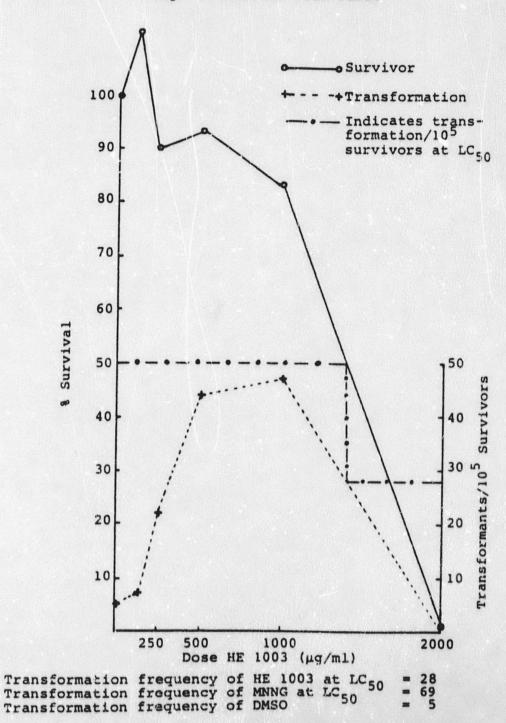
Survival and Transformation Frequency of BHK 21 C13 Cells Treated with HE 1003 in the Presence of S-9 Activation Graph of Results from Table 5



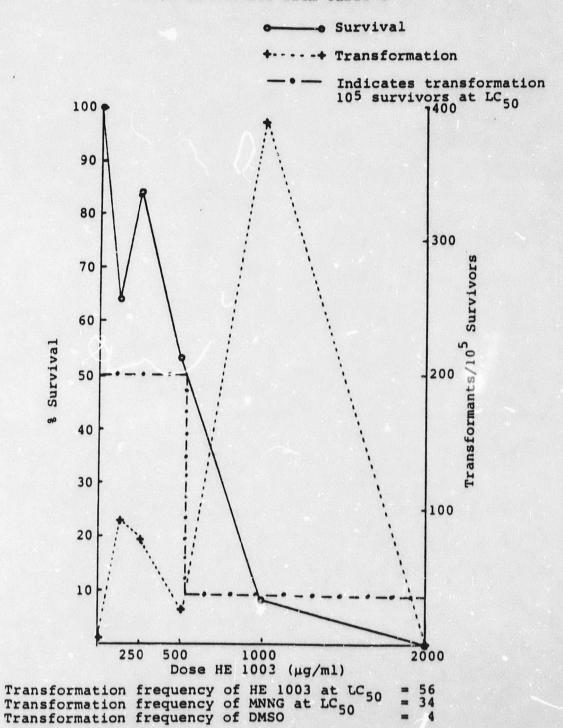
Survival and Transformation Frequency of BHK 21 C13 Cells Treated with HE 1003 in the Absence of S-9 Activation Graph of Results from Table 6



Survival and Transformation Frequency of BHK 21 C13 Cells Treated with HE 1003 in the Absence of S-9 Activation Graph of Results from Table 7



Survival and Transformation Frequency of BHK 21 Cl3 Cells
Treated with HE 1003 in the Absence of S-9 Activation
Graph of Results from Table 8



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